RECEIVED CENTRAL FAX CENTER

FEB 2 7 2004

Date: February 27, 2004

Certificate of Facsimile Transmission Under 37 CFR 1.8

I hereby certify that his paper is being facsimile transmitted to the

Commissioner for Patents, Mail Stop AF, Fax (703) 872-9306 on the

LAW OFFICES OF

FAY KAPLUN & MARCIN, LLP

INTELLECTUAL PROPERTY LAW

150 Broadway, Suite 702 New York, New York 10038 Phone: (212) 619-6000 Fax: (212) 208-6819 WWW.FKMIPLAW.COM OFFICIAL

FACSIMILE COVER SHEET

FAX NO

703-872-9306

TO

Commissioner for Patents,

Mail Stop: After Final

FROM

Oleg F. Kaplun

DATE

February 27, 2004

SUBJECT

Notice of Appeal

U.S. Patent Application Serial No. 09/697,306

for Surgical Apparatus and Method

Our Ref.: 10121/01601

NUMBER OF PAGES INCLUDING COVER: 4

MESSAGE:

Thank you.

Please see attached.

IF ANY PAGES WERE NOT RECEIVED OR ARE ILLEGIBLE, PLEASE CALL (212) 819-8000 AS SOON AS POSSIBLE.

The information contained in his facsimile message is attorney privileged and confidential information intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us by telephone, and return the original message to us at the above address via the U.S. Postal Service. We will reimburse any costs you incur in notifying us and returning the message to us.

[10121/01601]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED CENTRAL FAX CENTER

Applicant(s)

James F. McGUCKIN

FEB. 2.7 2004

Serial No.

09/697,306

Filed

October 27, 2000

Title

SURGICAL APPARATUS AND METHOD

Group Art Unit

3761

Examiner

G. Dawson

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Mail Stop AF

Certificate of Facsimile Transmission Under 37 CFR 1.8

I hereby certify that his paper is being facsimile transmitted to the Commissioner for Patents, Mail Stop AF, Fax (703) 872-9306 on the

date shown below:

Date: February 27, 2004

TRANSMITTAL

SIR:

Transmitted herewith please find a Notice of Appeal for filing in the above-identified application.

The Commissioner is hereby authorized to charge the \$330 filing fee for a Notice of Appeal and the \$110 extension fee for one month extension to the Deposit Account of Fay Kaplun & Marcin, LLP No. 50-1492. A copy of this paper is enclosed for that purpose. If any further fees are required, please use the deposit account.

Respectfully submitted,

Dated: February 27, 2004

Fay Kaplun & Marcin, LLP 150 Broadway, Suite 702 New York, New York 10038

Tel: (212) 619-6000 Fax: (212) 619-0276